SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Smith Richard Craig			2. Date of Event Requiring Statement (Month/Day/Year) 01/01/2016		3. Issuer Name and Ticker or Trading Symbol <u>AUTOZONE INC</u> [AZO]						
(Last) (First) (Middle) 123 SOUTH FRONT STREET		4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below)			on(s) to Issue 10% Owne	(Mo	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) 				
					Other (spe below)						
(Street) MEMPHIS TN 38103					Sr. Vice Presid	dent	2	X Form filed by One Reporting Person			
						Form filed by More than One Reporting Person					
(City)	(City) (State) (Zip)				<u> </u>						
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)				Beneficially Owned (Instr. 4)				. Nature of Indirect Beneficial Ownership Instr. 5)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable an Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securitie Underlying Derivative Security			4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratio Date	on Title		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Non-Qualified Stock Option (right to buy) ⁽¹⁾		(2)	09/27/201	.6	Common Stock	6,500	103.44	D			
Non-Qualified Stock Option (right to buy) ⁽³⁾		(4)	09/23/201	8	Common Stock	1,200	130.79	D			
Non-Qualified Stock Option (right to buy) ⁽³⁾		(5)	09/30/201	.9	Common Stock	3,000	142.77	D			
Non-Qualified Stock Option (right to buy) ⁽³⁾		(6)	09/29/202	.0	Common Stock	2,800	225.74	D			
Non-Qualified Stock Option (right to buy) ⁽⁷⁾		(8)	09/28/202	1	Common Stock	3,200	326	D			
Non-Qualified Stock Option (right to buy) ⁽⁷⁾		(9)	09/28/202	2	Common Stock	2,300	371.47	D			
Non-Qualified Stock Option (right to buy) ⁽⁷⁾		(10)	10/02/202	:3	Common Stock	2,130	425.11	D			
Non-Qualified Stock Option (right to buy) ⁽⁷⁾		(11)	09/24/202	.4	Common Stock	1,960	507.79	D			
Non-Qualified Stock Option (right to buy) ⁽⁷⁾		(12)	10/07/202	:5	Common Stock	1,800	744.62	D			
Non-Qualified Stock Option (right to buy) ⁽⁷⁾			(13)	12/16/202	:5	Common Stock	4,050	761.3	D		

Explanation of Responses:

1. Granted in accordance with the AutoZone, Inc. 1996 Stock Option Plan.

2. Options are exercisable in one-fourth increments on September 26, 2007, 2008, 2009, and 2010, respectively.

3. Granted in accordance with the AutoZone, Inc. 2006 Stock Option Plan.

4. Options exercisable in one-fourth increments on September 22, 2009, 2010, 2011 and 2012, respectively.

5. Options exercisable in one-fourth increments on September 29, 2010, 2011, 2012 and 2013, respectively.

6. Options exercisable in one-fourth increments on September 28, 2011, 2012, 2013 and 2014, respectively.

7. Granted in accordance with the AutoZone, Inc. 2011 Equity Incentive Award Plan.

8. Options exercisable in one-fourth increments on September 27, 2012, 2013, 2014 and 2015, respectively.

9. Options exercisable in one-fourth increments on September 27, 2013, 2014, 2015 and 2016, respectively.

10. Options exercisable in one-fourth increments on October 1, 2014, 2015, 2016 and 2017, respectively.

11. Options exercisable in one-fourth increments on September 23, 2015, 2016, 2017 and 2018, respectively.

12. Options exercisable in one-fourth increments on October 6, 2016, 2017, 2018 and 2019, respectively.

13. Options exercisable in one-fourth increments on December 15, 2016, 2017, 2018 and 2019, respectively.

/s/ Richard Craig Smith

<u>01/04/2016</u> Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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