FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
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|-------------|------|-------|

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add  | ress of Reporting Per | rson*   |  | 2. Issuer Name <b>and</b>                                   |   |          |   |                          |   |  | tionship of Reportin   | g Person(s) to Is   | ssuer  |  |  |
|--|-----------------------|---|--|---|---|----------|---|--------------------------|---|--|--|---|--|--|--|
| GRIFFIN RONALD B   |                       |   | <u>AUTOZONE</u>  | INC   | [ AZ                                    | O ]      | (Check  | all applicable) Director | 10% C   | )wner  |  |   |  |  |  |
|  |                       |   |  |   |   |          |   |                          |   | X  | Officer (give title  | Other   | (specify   |  |  |
| (Last)   |                       | 3. Date of Earliest Transaction (Month/Day/Year) 03/17/2021 |  |   |   |          |   |                          | Sr Vice Pre   | below<br>sident & CIO  | )  |   |  |  |  |
| 123 SOUTH FRONT STREET   |                       |   |  |   |   |          | Si. vice resident & Cro   |                          |   |  |  |   |  |  |  |
|  |                       |   |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |   |          |   |                          |   |  | 6. Individual or Joint/Group Filing (Check Applicable Line)  |   |  |  |  |
| (Street) MEMPHIS TN 38103  |                       |   |  |   |   |          |   |                          |   |  | Form filed by One Reporting Person   |   |  |  |  |
|  |                       |   |  |   |   |          |   |                          |   |  | Form filed by More than One Reporting Person   |   |  |  |  |
| (City)   | City) (State) (Zip)   |   |  |   |   |          |   |                          |   | Person   |  |   |  |  |  |
|  | -                     | Table I   | - Non-Derivat  | ive Securities  | Acqui                                   | red,     | Disposed  | d of, o                  | r Benefi  | cially (   | Owned  |   |  |  |  |
| 1. Title of Security (Instr. 3)                                  |                       |   | 2. Transaction<br>Date<br>(Month/Day/Year)                         | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |          | 4. Securities Acquired (A) or Dis<br>Of (D) (Instr. 3, 4 and 5) |                          |   | posed  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported                          | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirec<br>Beneficia<br>Ownershi<br>(Instr. 4) |  |  |
|  |                       |   |  |   |   |          |   | (A) or                   |   |  | Transaction(s)   |   | (.11041.4)   |  |  |
|  |                       |   |  |   | Code                                    | ٧        | Amount  | (A) or<br>(D)            | Price   |  | (Instr. 3 and 4)   |   |  |  |  |
| Common Stock   | <u> </u>              |   | 03/17/2021   |   | M                                       | V        | 5,593   | (D) A                    | \$507   | 7.79   |  | D   |  |  |  |
| Common Stock   |                       |   | 03/17/2021   |   |   | <u>v</u> |   |                          |   |  | (Instr. 3 and 4)   | D D   |  |  |  |
|  | ζ.                    |   |  |   | M                                       | V        | 5,593   | A                        | \$507   | 2675(1)  | (Instr. 3 and 4)<br>6,074.8716   |   |  |  |  |
| Common Stock   | ς                     |   | 03/17/2021   |   | M<br>S                                  | V        | 5,593<br>109  | A<br>D                   | \$507<br>\$1,307.2  | 2675 <sup>(1)</sup><br>3779 <sup>(2)</sup>   | (Instr. 3 and 4)<br>6,074.8716<br>5,965.8716   | D   |  |  |  |
| Common Stock   | <b>x</b>              |   | 03/17/2021   |   | M<br>S<br>S                             |          | 5,593<br>109<br>399   | A<br>D<br>D              | \$507<br>\$1,307.2<br>\$1,305.2                           | 2675 <sup>(1)</sup><br>3779 <sup>(2)</sup><br>6253 <sup>(3)</sup>                    | (Instr. 3 and 4)<br>6,074.8716<br>5,965.8716<br>5,566.8716   | D D   |  |  |  |
| Common Stock Common Stock  |                       |   | 03/17/2021<br>03/17/2021<br>03/17/2021                             |   | M<br>S<br>S                             |          | 5,593<br>109<br>399<br>1,106                                    | A D D D                  | \$507<br>\$1,307.2<br>\$1,305.2<br>\$1,306.0              | 2675 <sup>(1)</sup> 3779 <sup>(2)</sup> 6253 <sup>(3)</sup> 0998 <sup>(4)</sup>      | (Instr. 3 and 4)<br>6,074.8716<br>5,965.8716<br>5,566.8716<br>4,460.8716                           | D D D   |  |  |  |
| Common Stock Common Stock Common Stock                           |                       |   | 03/17/2021<br>03/17/2021<br>03/17/2021<br>03/17/2021               |   | M S S S S                               |          | 5,593<br>109<br>399<br>1,106<br>3,979                           | A D D D D                | \$507<br>\$1,307.2<br>\$1,305.2<br>\$1,306.0<br>\$1,304.0 | 2675 <sup>(1)</sup> 3779 <sup>(2)</sup> 6253 <sup>(3)</sup> 0998 <sup>(4)</sup> 1.62 | (Instr. 3 and 4)<br>6,074.8716<br>5,965.8716<br>5,566.8716<br>4,460.8716<br>481.8716               | D D D D   |  |  |  |
| Common Stock Common Stock Common Stock Common Stock Common Stock |                       |   | 03/17/2021<br>03/17/2021<br>03/17/2021<br>03/17/2021<br>03/18/2021 |   | M S S S S M                             |          | 5,593<br>109<br>399<br>1,106<br>3,979<br>8,600                  | A D D D A                | \$507<br>\$1,307.2<br>\$1,305.2<br>\$1,306.0<br>\$1,304.0 | 2675 <sup>(1)</sup> 3779 <sup>(2)</sup> 6253 <sup>(3)</sup> 0998 <sup>(4)</sup> 1.62 | (Instr. 3 and 4)<br>6,074.8716<br>5,965.8716<br>5,566.8716<br>4,460.8716<br>481.8716<br>9,081.8716 | D D D D D   |  |  |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                      | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4. Transaction of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |       | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                    | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |
|--|---|--|---|---|---|--|-------|--|--------------------|---|--|--|--|---|--|
|  |   |  |   | Code  | v | (A)  | (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares   |  |  |   |  |
| Non-<br>Qualified<br>Stock<br>Option<br>(right to<br>buy) <sup>(6)</sup> | \$507.79  | 03/17/2021                                 |   | М   |   |  | 5,593 | (7)  | 09/24/2024         | Common<br>Stock                                     | 5,593  | \$0.0000   | 733  | D |  |
| Non-<br>Qualified<br>Stock<br>Option<br>(right to<br>buy) <sup>(6)</sup> | \$507.79  | 03/18/2021                                 |   | М   |   |  | 733   | (7)  | 09/24/2024         | Common<br>Stock                                     | 733  | \$0.0000   | 0.0000   | D |  |
| Non-<br>Qualified<br>Stock<br>Option<br>(right to<br>buy) <sup>(6)</sup> | \$744.62  | 03/18/2021                                 |   | М   |   |  | 8,600 | (8)  | 10/07/2025         | Common<br>Stock                                     | 8,600  | \$0.0000   | 0.0000   | D |  |

## **Explanation of Responses:**

- 1. This price represents the weighted average price per shares of common stock, par value \$0.01 per share (each a "Share"), of AutoZone, Inc. ("Issuer"), of sales that were executed at prices ranging from \$1307.24 -\$1307.29 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission ("SEC") staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 2. This price represents the weighted average price per shares of common stock, par value \$0.01 per Share, of Issuer, of sales that were executed at prices ranging from \$1305.03 -\$1305.86 per Share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 3. This price represents the weighted average price per shares of common stock, par value \$0.01 per Share, of Issuer, of sales that were executed at prices ranging from \$1306.19 -\$1307.16 per Share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 4. This price represents the weighted average price per shares of common stock, par value \$0.01 per Share, of Issuer, of sales that were executed at prices ranging from \$1304.00 \$1304.85 per Share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 5. This price represents the weighted average price per shares of common stock, par value \$0.01 per Share, of Issuer, of sales that were executed at prices ranging from \$1312.00 \$1312.28 per Share. The

Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

- 6. Granted in accordance with the AutoZone, Inc. 2011 Equity Incentive Award Plan.
- 7. Options exercisable in one-fourth increments on September 23, 2015, 2016, 2017 and 2018, respectively.
- 8. Options exercisable in one-fourth increments on October 6, 2016, 2017, 2018 and 2019, respectively.

/s/ Ronald B. Griffin 03/19/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.