SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Griffith James C.	2. Date of Event Requiring Statement (Month/Day/Year) 11/01/2015		3. Issuer Name and Ticker or Trading Symbol <u>AUTOZONE INC</u> [AZO]					
(Last) (First) (Middle) 123 SOUTH FRONT STREET			4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below)	on(s) to Issuer 10% Owner Other (specify below)		 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) 		
(Street) MEMPHIS TN 38103			Sr. Vice Presid	,	· ·	X Form filed b	y One Reporting Person y More than One erson	
(City) (State) (Zip)								
	Table I - Noi	n-Derivati	ive Securities Beneficiall	y Owned				
1. Title of Security (Instr. 4)			. Amount of Securities eneficially Owned (Instr. 4)			I. Nature of Indirect Beneficial Ownership Instr. 5)		
Common Stock			59.4529	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Non-Qualified Stock Option (right to buy) ⁽¹⁾	(2)	09/28/2022	Common Stock	660	371.47	D		
Non-Qualified Stock Option (right to buy) ⁽¹⁾	(3)	10/02/2023	Common Stock	1,913	425.11	D		
Non-Qualified Stock Option (right to buy) ⁽¹⁾	(4)	09/24/2024	Common Stock	2,280	507.79	D		
Non-Qualified Stock Option (right to buy) ⁽¹⁾	(5)	10/07/2025	Common Stock	2,500	744.62	D		
Non-Qualified Stock Option (right to buy) ⁽¹⁾	(6)	11/02/2025	Common Stock	4,320	784.41	D		

Explanation of Responses:

1. Granted in accordance with the AutoZone, Inc. 2011 Equity Incentive Award Plan.

2. Options exercisable in one-fourth increments on September 27, 2013, 2014, 2015 and 2016, respectively.

3. Options exercisable in one-fourth increments on October 1, 2014, 2015, 2016 and 2017, respectively.

4. Options exercisable in one-fourth increments on September 23, 2015, 2016, 2017 and 2018, respectively.

5. Options exercisable in one-fourth increments on October 6, 2016, 2017, 2018 and 2019, respectively.

6. Options exercisable in one-fourth increments on November 1, 2016, 2017, 2018 and 2019, respectively.

/s/ James C. Griffith

11/02/2015

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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