SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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			of Section So(ii) of the investment company Act of 194	ŧO				
1. Name and Address of Reporting Person [*] GRIFFIN RONALD B			2. Issuer Name and Ticker or Trading Symbol AUTOZONE INC [AZO]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
, (I, t)		() (; -1 -1) -)	3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)	Other (specify below)		
(Last) 123 SOUTH F	(First) FRONT STREET	(Middle)	12/17/2018		Sr. Vice President & CIO			
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Yea	r) 6. Indiv Line)	<i>v</i> idual or Joint/Group Fili	ng (Check Applicable		
MEMPHIS	TN	38103		X	Form filed by One Re	porting Person		
(City)	(State)	(Zip)			Form filed by More th Person	an One Reporting		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	12/17/2018		М		21,000	A	\$386.65	21,377.1403	D	
Common Stock	12/17/2018		S		100	D	\$ 847.2075 ⁽¹⁾	21,277.1403	D	
Common Stock	12/17/2018		S		202	D	\$854.38 ⁽²⁾	21,075.1403	D	
Common Stock	12/17/2018		S		208	D	\$ 843.1227 ⁽³⁾	20,867.1403	D	
Common Stock	12/17/2018		S		240	D	\$ 851.2325 ⁽⁴⁾	20,627.1403	D	
Common Stock	12/17/2018		S		304	D	\$844.8286(5)	20,323.1403	D	
Common Stock	12/17/2018		S		500	D	\$848.744(6)	19,823.1403	D	
Common Stock	12/17/2018		S		603	D	\$842.0318(7)	19,220.1403	D	
Common Stock	12/17/2018		S		711	D	\$852.3388 ⁽⁸⁾	18,509.1403	D	
Common Stock	12/17/2018		S		1,700	D	\$849.9997 ⁽⁹⁾	16,809.1403	D	
Common Stock	12/17/2018		s		2,169	D	\$853.7481(10)	14,640.1403	D	
Common Stock	12/17/2018		S		4,779	D	\$845.6508(11)	9,861.1403	D	
Common Stock	12/17/2018		S		9,484	D	\$840.737(12)	377.1403	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 11. Nature 10. Ownership Derivative Conversion Date Execution Date Transaction of Expiration Date of Securities Derivative derivative of Indirect Underlying Derivative Security (Month/Day/Year) Derivative (Month/Day/Year) Security or Exercise if any Code (Instr. Securities Form: Beneficial Security (Month/Dav/Year) Direct (D) (Instr. 3) Price of 8) Securities (Instr. 5) Beneficially Ownership Derivative Acquired (Instr. 3 and 4) Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security (A) or Disposed Reported Transaction(s) of (D) (Instr. 3, 4 and 5) (Instr. 4) Amount Number Date Expiration of Shares Code v (A) (D) Exercisable Date Title Non Oualified Stock Commo (14) \$386.65 12/17/2018 21.000 21,000 0.0000 Μ 06/13/2022 \$0.0000 D Option Stock (right to buy)⁽¹³⁾

Explanation of Responses:

1. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$847.10 - \$847.53 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

2. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$854.29 - \$854.50 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

3. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$842.99 - \$843.19 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

4. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$851.00 - \$851.53 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

5. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$844.39 - \$845.24 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

6. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$848.74 - \$848.75 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

7. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$841.75 - \$842.53 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

8. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$852.02 - \$852.66 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

9. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$849.95 - \$850.59 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

10. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$853.19 - \$854.17 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

11. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$845.40 - \$846.20 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

12. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$840.70 - \$841.53 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

13. Granted in accordance with the AutoZone, Inc. 2011 Equity Incentive Award Plan.

14. Options exercisable in one-fourth increments on June 12, 2013, 2014, 2015 and 2016, respectively.

<u>/s/ Ronald B. Griffin</u>

12/18/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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