Common Stock

#### FORM 4

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

vvasilington, D.C. 20040

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

				or Section 30(h) of	the Inv	estme	nt Company	Act of 19	40				
1. Name and Address of Reporting Person*  Newbern Thomas B				2. Issuer Name <b>and</b> Ticker or Trading Symbol AUTOZONE INC [ AZO ]							all applicable) Director	Person(s) to Issuer  10% Owner	
(Last) 123 SOUTH F	(First)	(Midd	dle)	3. Date of Earliest 1 12/19/2019	Transac	tion (N	/lonth/Day/Ye		X	Officer (give title Othbelow) below  Exec. Vice President		(specify	
(City)	03	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indiv Line) X	vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
		Table I	- Non-Derivat	ive Securities	Acqu	ired	, Dispose	d of, o	r Benefi	cially (	Owned		
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Dis Of (D) (Instr. 3, 4 and 5)			posed	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
					Code V		Amount	(A) or (D) Price			Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	ζ		12/19/2019		M		4,350	A	\$425	.11	7,536.6571	D	
Common Stock	ζ		12/19/2019		M		3,950	A	\$507	.79	11,486.6571	D	
Common Stock		12/19/2019		M		2,350	Α	\$371	.47	13,836.6571	D		

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

S

S

S

S

S

S

100

900

1.141

1,400

1,709

2,100

3,300

D

D

D

D

D

D

D

\$1,219.24

\$1,217.6613(1)

\$1,216,309(2)

\$1,218.5557(3)

\$1,214.1319(4)

\$1,213.322(5)

\$1,215.2821(6)

13,736.6571

12,836.6571

11,695.6571

10,295.6571

8,586.6571

6,486.6571

3,186.6571

D

D

D

D

D

D

D

12/19/2019

12/19/2019

12/19/2019

12/19/2019

12/19/2019

12/19/2019

12/19/2019

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of I		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy) <sup>(7)</sup>	\$507.79	12/19/2019		M			3,950	(8)	09/24/2024	Common Stock	3,950	\$0.0000	1,975	D	
Non- Qualified Stock Option (right to buy) <sup>(7)</sup>	\$371.47	12/19/2019		M			2,350	(9)	09/28/2022	Common Stock	2,350	\$0.0000	0.0000	D	
Non- Qualified Stock Option (right to buy) <sup>(7)</sup>	\$425.11	12/19/2019		М			4,350	(10)	10/02/2023	Common Stock	4,350	\$0.0000	0.0000	D	

### **Explanation of Responses:**

<sup>1.</sup> This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$1,217.19 - \$1,218.09 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

<sup>2.</sup> This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$1,216.00 - \$1,216.90 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information

regarding the number of Shares sold at each price.

- 3. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$1,218.21 \$1,218.79 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 4. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$1,213.70 \$1,214.62 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 5. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$1,212.69 \$1,213.66 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 6. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$1,214.88 \$1,215.85 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.
- 7. Granted in accordance with the AutoZone, Inc. 2011 Equity Incentive Award Plan.
- 8. Options exercisable in one-fourth increments on September 23, 2015, 2016, 2017 and 2018, respectively.
- 9. Options exercisable in one-fourth increments on September 27, 2013, 2014, 2015 and 2016, respectively.
- 10. Options exercisable in one-fourth increments on October 1, 2014, 2015, 2016 and 2017, respectively.

<u>/s/ Thomas B. Newbern</u> <u>12/20/2019</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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