SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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Estimated average burden	05

I I. Name and Address of Reporting Ferson			2. Issuer Name and Ticker or Trading Symbol AUTOZONE INC [AZO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
			t		Director	10% Owner			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)	Other (specify below)			
123 SOUTH FRONT STREET			08/11/2016		Exec. Vice President				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	dual or Joint/Group Filing	(Check Applicable			
MEMPHIS	TN	38103		X	Form filed by One Report	rting Person			
					Form filed by More than Person	One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	08/11/2016		М		11,300	A	\$326	15,976.9227	D	
Common Stock	08/11/2016		М		7,050	A	\$371.47	23,026.9227	D	
Common Stock	08/11/2016		М		4,350	A	\$425.11	27,376.9227	D	
Common Stock	08/11/2016		М		1,975	A	\$507.79	29,351.9227	D	
Common Stock	08/11/2016		М		125	Α	\$326	29,476.9227	D	
Common Stock	08/11/2016		S		1	D	\$801.68	29,475.9227	D	
Common Stock	08/11/2016		S		600	D	\$801.1146 ⁽¹⁾	28,875.9227	D	
Common Stock	08/11/2016		S		16,269	D	\$799.7767 ⁽²⁾	12,606.9227	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Incentive Stock Option (Right to Buy) ⁽³⁾	\$326	08/11/2016		М			125	(4)	09/27/2021	Common Stock	125	\$0.0000	0.0000	D	
Non- Qualified Stock Option (right to buy) ⁽³⁾	\$507.79	08/11/2016		М			1,975	(5)	09/24/2024	Common Stock	1,975	\$0.0000	5,925	D	
Non- Qualified Stock Option (right to buy) ⁽³⁾	\$326	08/11/2016		М			11,300	(4)	09/28/2021	Common Stock	11,300	\$0.0000	0.0000	D	
Non- Qualified Stock Option (right to buy) ⁽³⁾	\$371.47	08/11/2016		М			7,050	(6)	09/28/2022	Common Stock	7,050	\$0.0000	2,350	D	
Non- Qualified Stock Option (right to buy) ⁽³⁾	\$425.11	08/11/2016		М			4,350	(7)	10/02/2023	Common Stock	4,350	\$0.0000	4,350	D	

Explanation of Responses:

1. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$800.69 - \$801.66 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

2. This price represents the weighted average price per share of common Stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each a "Share"), of sales that were executed at prices ranging from \$799.6633 - \$800.66 per Share. The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of Shares sold at each price.

3. Granted in accordance with the AutoZone, Inc. 2011 Equity Incentive Award Plan.

- 4. Options exercisable in one-fourth increments on September 27, 2012, 2013, 2014 and 2015, respectively.
- 5. Options exercisable in one-fourth increments on September 23, 2015, 2016, 2017 and 2018, respectively.
- 6. Options exercisable in one-fourth increments on September 27, 2013, 2014, 2015 and 2016, respectively.
- 7. Options exercisable in one-fourth increments on October 1, 2014, 2015, 2016 and 2017, respectively.

/s/ Thomas B. Newbern

08/11/2016 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.